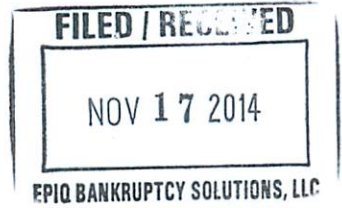


B 210A (Form 210A) (12/09)



## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banco Santander (Suisse) SA  
Name of Transferee

Union Bancaire Privée UBP SA  
Name of Transferor

Name and Address where notices to transferee should be sent:  
5-7 Rue Ami-Lévrier, P.O. Box 1256  
1211 Geneva 1, Switzerland

Court Claim # (if known): 47094  
Amount of Claim: \$178,830.00  
Date Claim Filed: 10/26/2009

Phone: +41.22.909.22.39  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: +41.58.819.3428  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Eva Benito Valerie Solvez  
Transferee/Transferee's Agent

Date: 10/17/2014

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

BANCO SANTANDER (SUISSE) S.A.





UNION BANCAIRE PRIVÉE

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVÉE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to to **BANCO SANTANDER (Suisse) SA** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **47094**) in nominal amount of USD 178'830.- related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 15<sup>th</sup> October 2014.

**UNION BANCAIRE PRIVÉE, UBP SA**

Securities departement  
Director

Securities departement  
Associate

**UNION BANCAIRE PRIVÉE, UBP SA**

**Genève**

Rue du Rhône 96-98 | CP 1320 | 1211 Genève 1, Suisse  
Tél. +41 58 819 21 11 | Fax +41 58 819 22 00  
ubp@ubp.ch | www.ubp.com

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Claim Unsecured</b>	<b>Allowed Unsecured</b>
ISIN CH0026985082  Lehman Brothers due 13.10.2010	47094	26th October 2009	Lehman Brothers Holdings Inc	USD 178'830	N/A

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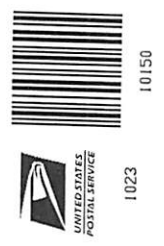
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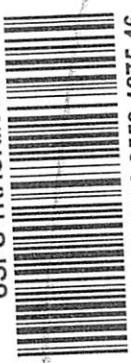


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